

2012 Filings with the SEC

SR-MSRB-2012-10 (November 29, 2012)

Proposed Rule Change to Extend the Implementation Date of the Requirement to Report the Contractual Dollar Prices at which Transactions were Executed for Inter-Dealer Transactions

SR-MSRB-2012-09 (November 16, 2012)

Revisions to the Study Outline for the Municipal Securities Principal Qualification Examination (Series 53)

SR-MSRB-2012-08 (October 23, 2012)

Proposed Amendments to Rule G-32, on Disclosures in Connection with Primary Offerings, and Rule G-34, on CUSIP Numbers, New Issue, and Market Information Requirements, to Streamline New Issue Information Submission Requirements

SR-MSRB-2012-07 (August 24, 2012)

Proposed Rule Change Consisting of Amendments to the Real-Time Transaction Reporting System (RTRS) Information System and Subscription Service to Enhance Price Transparency for Large Trades

SR-MSRB-2012-06 (June 28, 2012)

Proposed Rule Change Consisting of Amendments to Rule G-34, on CUSIP Numbers, New Issue, and Market Information Requirements, Regarding Use of the Term "Not Reoffered" or the Designation "NRO" in Written Communications About New Issues of Municipal Securities

MSRB Comment Letter to SEC (September 17, 2012)

SR-MSRB-2012-05 (March 26, 2012)

Restatement of an Interpretive Notice Concerning the Application of MSRB Rule G-17 to Sophisticated Municipal Market Professionals

View text of proposed rule change.

SR-MSRB-2012-04 (March 5, 2012)

Proposed Rule G-43, on Broker's Brokers; Proposed Amendments to Rule G-8, on Books and Records, Rule G-9, on Preservation of Records, and Rule G-18, on Execution of Transactions; and a Proposed Interpretive Notice on the Duties of Brokers, Dealers and Municipal Securities Dealers that Use the Services of Broker's Brokers

Amendment No. 1 (May 3, 2012)

MSRB Comment Letter to SEC (May 3, 2012)

SR-MSRB-2012-03 (February 27, 2012)

Proposed Rule Change to Establish a Subscription to Historical Information and Documents Submitted to the MSRB's Short-Term Obligation Rate Transparency System (SHORT System)

SR-MSRB-2012-02 (February 27, 2012)

Proposed Rule Change to Establish a Subscription Containing Historical Documents and Data Obtained from Submissions to the MSRB Electronic Municipal Market Access (EMMA) System

SR-MSRB-2012-01 (January 20, 2012)

Proposed Rule Change Consisting of Amendments to Rule G-14, on Reports of Sales or Purchases, Including the Rule G-14 RTRS Procedures, and Amendments to the Real-Time Transaction Reporting System

Month 2022

